



Atty. Dkt. No. 025311-0114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Satoshi Kume

Title: APPARATUS AND METHOD OF CLEANING A SUBSTRATE

Appl. No.: 10/050,161

Filing Date: 01/18/2002

Examiner: M. Kornakov

Art Unit: 1746

ENGLISH TRANSLATION OF PRIORITY DOCUMENT TRANSMITTAL

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Further to Applicants' claim of priority under 35 U.S.C. 119 from foreign application, Japanese Patent Application No 2001-016964, filed January 25, 2001, Applicants submit herewith an English translations of said original foreign application, and a certificate from the translator of the accuracy of the translation.

Respectfully submitted,

Date

September 20, 2004

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 672-5407

Facsimile: (202) 672-5399

By

William T. Ellis

William T. Ellis

Attorney for Applicant

Registration No. 26,874

Thomas G. Bilodeau

Attorney for Applicant

Registration No. 43,438



Docket No.:

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Satoshi KUME : EXAMINER: M. Kornakov
SERIAL NO.: 01/050,161 :
FILED: 01/18/2002 : GROUP ART UNIT: 1746
FOR: APPARATUS AND METHOD OF CLEANING A SUBSTRATE

TRANSLATION OF DOCUMENT

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

SIR:

MAMORU TAKADA, a translator residing at 19-2,
NIHIOGIMINAMI 1-CHOME, SUGINAMI-KU, TOKYO 167-0053,
JAPAN

hereby states:

- (1) that I am fluent in both Japanese and English;
- (2) that I translated the attached document identified as corresponding to Japanese Application No. 2001-016964 filed in Japan on January 25, 2001 from Japanese to English.
- (3) that the attached corresponding English translation of the above referred to document is a true and accurate translation to the best of my knowledge and belief.

DATE: September 1, 2004 BY: Mamoru Takada

[Name of Document] Petition
[Reference Number] 00PK029A
[Filing Date] January 25, Heisei 13
[Addressee] Commissioner of Patent Office
[International Class] H01L 21/00
[Inventor]
 [Address] c/o Semiconductor Leading Edge Technologies, Inc.
 292 Yoshida-cho, Totsuka-ku, Yokohama, KANAGAWA,
 JAPAN
 [Name] Satoshi KUME
[Applicant]
 [Identification Number] 597114926
 [Name] Semiconductor Leading Edge Technologies, Inc.
[Attorney]
 [Identification Number] 100082175
 [Patent Attorney]
 [Name] Mamoru TAKADA
 [Phone] 03-5379-3088
[Attorney]
 [Identification Number] 100106150
 [Patent Attorney]
 [Name] Hideki TAKAHASHI
 [Phone] 03-5379-3088
[Attorney]
 [Identification Number] 100108372
 [Patent Attorney]
 [Name] Takuo TANIDA
 [Phone] 03-5379-3088
[Fee]
 [Pre Pay Number] 049397
 [Amount] 21000
[List of Material]
 [Title] Specification 1
 [Title] Drawing 1
 [Title] Abstract 1
 [Power of Attorney Number] 9903446
[Proof] Needed

[DOCUMENT NAME] Specification

[TITLE OF THE INVENTION] APPARATUS AND METHOD OF CLEANING SUBSTRATE

[CLAIMS]

[claim 1] A substrate cleaning apparatus comprising:
a processing bath to be filled with a cleaning chemical;
an ultrasonic oscillator disposed in the processing bath and immersed in the cleaning chemical; and

a retainer for retaining a substrate to be immersed in the cleaning chemical such that ultrasonic waves originating from the ultrasonic oscillator are radiated onto a back surface of the substrate.

[claim 2] The substrate cleaning apparatus according to claim 1, wherein the ultrasonic oscillator has a plurality of oscillation sources disposed in a dispersed manner.

[claim 3] The substrate cleaning apparatus according to claim 1 or 2, further comprising a rotary mechanism for rotating the substrate retained by the retainer.

[claim 4] The substrate cleaning apparatus according to one of claims 1 to 3, further comprising propagation control apparatus for scattering or damping ultrasonic waves originating from the ultrasonic oscillator.

[claim 5] The substrate cleaning apparatus according to claim 4, wherein the propagation control apparatus is constituted by means of placing, in a propagation path of ultrasonic waves, a plate-like member having a plurality of openings selectively formed therein.

[claim 6] The substrate cleaning apparatus according to claim 4, wherein the propagation control means includes jet nozzles for squirting a chemical in the propagation path of ultrasonic waves, thus circulating a flow of chemical.

[claim 7] A method of manufacturing a semiconductor device through use of the substrate cleaning apparatus described in one of claims 1 to 6.

[claim 8] A substrate cleaning method characterized in that a substrate whose surface has been processed is immersed in a cleaning chemical filled in a processing bath, and ultrasonic waves are radiated onto a back surface of the substrate, thereby cleaning a front surface of the substrate.

[claim 9] The substrate cleaning method according to claim 8, wherein ultrasonic waves originate from a plurality of origination sources disposed in a dispersed manner.

[claim 10] The substrate cleaning method according to claim 8 or 9, wherein the substrate is cleaned while being rotated.

[claim 11] The substrate cleaning method according to one of claims 8 to 10, wherein ultrasonic waves are radiated by way of a propagation control member for scattering or damping ultrasonic waves.

[claim 12] The substrate cleaning method according to one of claims 8 to 11, wherein cleaning is effected while the chemical through which ultrasonic waves propagate is stirred or agitated.

[claim 13] A method of manufacturing a semiconductor device through use of the substrate cleaning method defined in one of claims 8 to 12.

[DETAILED DESCRIPTION OF THE INVENTION]

[0001]

[Field of the Invention]

[0001]

[Field of the Invention]

The present invention relates to a method and apparatus for cleaning a substrate, such as a semiconductor substrate.

[0002]

[Background Art]

When an interconnection pattern is formed on a semiconductor substrate during the course of manufacture of a semiconductor device, a resist pattern is formed on a metal film; e.g., Al or Cu. The semiconductor substrate is subjected to plasma etching via the resist pattern, thus forming an interconnection pattern.

[0003]

[Problem to be Solved by the Invention]

In association with an increase in the packing density of a device, a sidewall protection film for protecting sidewalls of a pattern during plasma etching is formed thickly, thus improving a geometry into which the metal film is to be etched. In this case, an altered resist layer resulting from plasma etching of a pattern, such as an Al or Cu interconnection, tends to become more difficult to remove during a removal process subsequent to the plasma etching process.

[0004]

Fig. 7 is a conceptual drawing of a cross section of a pattern for describing the tendency. A resist layer 73 adhering to the side surfaces of an aluminum interconnection pattern 72 on a substrate 1 acts as a sidewall protection film. Concurrently, an altered resist layer 75 adheres also to the sidewalls of a resist pattern 74.

In order to eliminate the resist after etching, the altered resist layer 75 has hitherto been removed by means of a wet etching method. However, there still remain residues of the altered layer that cannot be removed by the solubility of the resist in a chemical.

[0005]

Alternatively, a single wafer processing cleaner has hitherto been employed. Fig. 8 is a conceptual drawing of an example of such a processing cleaner. In the cleaner, a substrate 82 to be cleaned is placed on top of a rotary stage 81, and chemicals are dropped from a chemical drop nozzle 83 onto the substrate 82. In the case of such a rotary method, structural limitations are imposed on introduction of ultrasonic cleaner for improving the removal.

[0006]

The present invention has been conceived to solve the drawbacks of the related-art cleaning technology as described above. To this end, there is adopted a dipping method of introducing a chemical into a processing bath. A substrate to be cleaned is immersed in the chemical and exposed to ultrasonic waves, thereby improving a cleaning effect and promoting removal of an altered resist layer adhering to the substrate.

[0007]

[Means to Solve the Problem]

According to one aspect of the present invention, as stated in claim 1, a substrate cleaning apparatus comprises: a processing bath to be filled with a cleaning chemical, an ultrasonic oscillator disposed in the processing bath and immersed in the cleaning chemical, and a retainer for retaining a substrate to be immersed in the cleaning chemical such that ultrasonic waves originating from the ultrasonic oscillator are radiated onto a back surface of the substrate.

[0008]

In another aspect, as stated in claim 2, the ultrasonic oscillator has a plurality of oscillation sources disposed in a dispersed manner.

[0009]

In another aspect, as stated in claim 3, the substrate cleaning apparatus further comprises a rotary mechanism for rotating the substrate retained by the retainer.

[0010]

In another aspect, as stated in claim 4, the substrate cleaning apparatus further comprises propagation control apparatus for scattering or damping ultrasonic waves originating from the ultrasonic oscillator.

[0011]

In another aspect, as stated in claim 5, in the substrate cleaning apparatus, the propagation control apparatus is constituted by means of placing, in a propagation path of ultrasonic waves, a plate-like member having a plurality of openings selectively formed therein.

[0012]

In another aspect, as stated in claim 6, the propagation control apparatus includes jet nozzles for squirting a chemical in the propagation path of ultrasonic waves, thus circulating a flow of chemical.

[0013]

According to another aspect of the present invention, as stated in claim 8, in a substrate cleaning method, a substrate whose surface has been processed is immersed in a cleaning chemical filled in a processing bath, and ultrasonic waves are radiated onto a back surface of the substrate, thereby cleaning a front surface of the substrate.

[0014]

In another aspect, as stated in claim 9, in the substrate cleaning method, ultrasonic waves originates from a plurality of origination sources disposed in a dispersed manner.

[0015]

In another aspect, as stated in claim 10, in the substrate cleaning method, the substrate is cleaned while being rotated.

[0016]

In another aspect, as stated in claim 11, in the substrate cleaning method, ultrasonic waves are radiated by way of a propagation control member for scattering or damping ultrasonic waves.

[0017]

In another aspect, as stated in claim 12, in the substrate cleaning method, cleaning is effected while the chemical through which ultrasonic waves propagate is stirred or agitated.

[0018]

In another aspect, as stated in claim 7, in a method of manufacturing a semiconductor device through uses the substrate cleaning apparatus as defined in one of claims 1 to 6.

[0019]

In another aspect, as stated in claim 13, in a method of manufacturing a semiconductor device through uses the substrate cleaning method as defined in one of claims 8 to 12.

[0020]

[Embodiments of the Invention]

Embodiments of the present invention will be described hereinbelow by reference to the accompanying drawings. Throughout the drawings, like or corresponding elements are assigned identical reference numerals, and their repeated explanations are simplified or omitted.

First Embodiment

Fig. 1 is a cross-sectional view schematically showing a configuration of a substrate cleaning apparatus according to a first embodiment of the present invention.

In the cleaning apparatus shown in Fig. 1, a processing bath 1 (or a chemical bath 1) is filled with a cleaning chemical 2. An ultrasonic oscillator 3 is disposed so as to be immersed in the cleaning chemical 2 within the processing bath 1. Preferably, the ultrasonic

oscillator 3 is disposed in the vicinity of an interior bottom of the processing bath 1. A retainer 5 for holding a substrate 4 to be cleaned is disposed, preferably, in the vicinity of an interior upper portion of the processing bath 1 such that the substrate 4 is immersed and held in the cleaning chemical 2.

[0021]

Radiators 31 (or oscillators 31), which serve as radiation sources for emitting ultrasonic waves, are provided on the surface of the ultrasonic oscillator 3. The surface of each of the radiators 31 is oriented toward the substrate 4. The radiators 31 are dispersed at appropriate intervals on the surface of the ultrasonic oscillator 3. The ultrasonic oscillator 3 is constituted by means of housing the ultrasonic radiators 31 into, e.g., a chemical-resistant box.

[0022]

Fig. 2 depicts a preferred example of the ultrasonic oscillator 3, showing an example layout in which the radiators 31 are arranged on the surface of the ultrasonic oscillator 3. Each of the radiators 31 has a diameter of, e.g., about 1.5 cm, and the radiators 31 are affixed to a surface plate 32 in a uniformly-dispersed manner at an interval of about 5 cm.

[0023]

Ultrasonic waves are emitted from the radiators 31 by means of activation of the ultrasonic oscillator 3. The ultrasonic waves propagate through the chemical 2 and are irradiated onto the back surface of the substrate 4. As a result, removal of an altered resist layer adhering to the front surface of the substrate 4 is promoted. For this reason, as shown in Fig. 2, a plurality of radiators 31 are preferably arranged at small intervals in a dispersed manner, thereby improving the consistency of radiated ultrasonic waves within a plane. On the contrary, if one radiator 31 or only a small number of radiators 31 would be provided, an undesirable difference will arise between one area of the substrate 4 located immediately above the radiators 31 and the other area surrounding the one area of the substrate 4, in terms of intensity of ultrasonic waves radiated onto the back surface of the substrate 4.

[0024]

As has been described above, in the present embodiment, the processing bath 1 filled with a cleaning chemical is provided with the ultrasonic oscillator 3 and the retainer 5 for holding the substrate 4 to be immersed into the cleaning chemical 2 for cleaning purpose. Ultrasonic waves originating from the ultrasonic oscillator 3 are radiated onto the back surface of the substrate 4, thereby cleaning the front surface of the substrate 4.

Use of such a method promotes removal of an altered resist layer or resist residues adhering to the front surface of the substrate 4; for example, an altered resist layer or resist residues resulting from plasma etching of a pattern, such as an Al pattern or a Cu pattern.

[0025]

Second Embodiment

Fig. 3 is a cross-sectional view schematically showing a construction of a substrate cleaning apparatus according to a second embodiment of the present invention.

In this embodiment, in addition to the structure of a cleaning apparatus shown in Fig. 1, the cleaning apparatus shown in Fig. 3 is further provided with a rotary mechanism 6 for rotating the retainer 5 that holds the substrate 4. For instance, the rotary mechanism 6 rotates the retainer 5 at a constant speed in a circumferential direction while supporting the retainer 5 from below. As a result, the substrate 4 can be rotated in the circumferential direction while remaining within the chemical.

[0026]

As a result, the surface of the substrate 4 is cleaned with the chemical, thereby improving a cleaning effect. Further, even if inconsistency exists in the intensity profile of ultrasonic waves radiated onto the substrate 4, oscillation exerted within the plane of the

substrate 4 can be made consistent by means of rotating the substrate 4. Thus, the effect of ultrasonic waves can be averaged, thereby improving a cleaning effect.

[0027]

Third Embodiment

Fig. 4 is a cross-sectional view schematically showing a construction of a substrate cleaning apparatus according to a third embodiment of the present invention.

In addition to the structure of the cleaning apparatus shown in Fig. 1, the cleaning apparatus shown in Fig. 4 is further provided with a shield plate 7 disposed between the ultrasonic oscillator 3 and the substrate 4 within the processing bath 1; in short, in a path along which ultrasonic waves propagate. The shield plate 7 can scatter or attenuate ultrasonic waves. Specifically, the shield plate 7 is an example of a propagation control member for controlling a propagation characteristic of ultrasonic waves. For example, a member of mesh structure is utilized as the shield plate 7.

[0028]

As has already been mentioned, utilization of ultrasonic waves for cleaning the substrate 4 promotes removal of an altered resist layer adhering to the substrate 4. There may be a case where there is a necessity of controlling the intensity of ultrasonic waves so as not to impose damage (e.g., exfoliation or corrosion) to Al or Cu, which serves a material of the interconnection, formed on the substrate 4. In this case, there is selected a shield plate 7 having an appropriate shielding effect, and the thus-selected shield plate 7 is disposed, thereby controlling influence of ultrasonic waves.

[0029]

When the profile of consistency of ultrasonic waves radiated onto the substrate 4 is not necessarily sufficient, a shield plate is interposed between the ultrasonic oscillator 3 and the substrate 4, thereby optimizing the ultrasonic waves through scattering and attenuation.

[0030]

Fig. 5 is a plan view showing a preferred example of the shield plate 7. The shield plate 7 is formed, by means of forming in a plate member 71 a plurality of slits 72 of appropriate width at predetermined intervals. For instance, a stainless plate or another metal plate possessing chemical resistance can be utilized as the plate member 71. Preferably, a material that does not absorb ultrasonic waves is used. The width of the slit 72 is set to, e.g., 1 to 2 cm. Ultrasonic waves passing through the slits 72 can be controlled by means of adjusting an area ratio of the slits 72 to the shield plate 7. Thus, the intensity of ultrasonic waves propagating through the shield plate 7 can be controlled.

[0031]

As another example of the shield plate 7, shield plates 7 shown in Fig. 5 are stacked into a double layer, and the positions of the shield plates 7 are displaced from each other through adjustment. As a result, the area ratio of the slits 72 to the stacked shield plates 7 can be variably adjusted.

The shield plate 7 possesses chemical resistance, and is made of material which does not absorb ultrasonic waves. By means of variably changing the area ratio of the slits 72 to the shield plate or plates 7, required action of ultrasonic waves can be selected for each substrate 4.

[0032]

Fourth Embodiment

Fig. 6 is a cross-sectional view schematically showing a construction of a substrate cleaning apparatus according to a fourth embodiment of the present invention.

In addition to the construction of the cleaning apparatus shown in Fig. 1, the cleaning apparatus shown in Fig. 6 is further provided with jet nozzles 8 disposed between the ultrasonic oscillator 3 and the substrate 4 beside a path along which ultrasonic waves

propagate to the substrate 4. The jet nozzles 8 squirt the chemical 2 toward the propagation path of ultrasonic waves. A plurality of jet nozzles 8 are provided in the vicinity of an interior wall of the processing bath 1. The jet nozzles 8 are one example of propagation control members for controlling the propagation characteristic of ultrasonic waves.

[0033]

Jet flows 21 of the chemical 2 are induced by the jet nozzles 8, thereby stirring or agitating a chemical located in the propagation path of ultrasonic waves, to thereby adjust the flow of chemical within the processing bath 1. As a result, linear radiation of ultrasonic waves is scattered, and the consistency of radiation of ultrasonic waves due to stirring effect can be improved. Further, the stirring effect can contribute to lessening of damage which arises on the surface of the substrate 4.

[0034]

During manufacture of a semiconductor device, a substrate is cleaned through use of the substrate cleaning method or apparatus described in connection with the previous embodiments, thereby enabling manufacture of a semiconductor device. A cleaning process can be made efficient.

In each of the embodiments, the present invention has been described by means of taking a semiconductor substrate or a semiconductor device as an example. However, the substrate is not limited to a semiconductor substrate; the present invention can be applied to a substrate of another electronic device in the same manner. Products to be manufactured finally are not limited to semiconductor devices and may be other electronic devices.

[0035]

[Effects of the Invention]

The features and the advantages of the present invention may be summarized as follows.

Under the substrate cleaning apparatus and method according to the present invention, a substrate is cleaned within a cleaning chemical while being exposed to ultrasonic waves, thus improving an effect of cleaning a substrate.

[0036]

Under the substrate apparatus and method according to the present invention, a substrate to be cleaned is cleaned while being rotated within a chemical, thus yielding an effect of rendering a cleaning effect uniform within a plane of the substrate.

[0037]

Under the substrate apparatus and method according to the present invention, the intensity of ultrasonic waves to be radiated onto a substrate to be cleaned is adjusted, or a distribution profile of ultrasonic waves is made uniform. As a result, a cleaning effect achieved within a plane of a substrate to be cleaned can be controlled, and the cleaning effects can be made uniform.

[0038]

Under the substrate apparatus and method according to the present invention, a substrate is cleaned while being subjected to radiation of ultrasonic waves with a cleaning chemical that is being stirred or agitated. Hence, the consistency of radiation of ultrasonic waves is improved, thereby controlling an effect of cleaning a substrate or rendering the cleaning effect uniform.

[BRIEF DESCRIPTION OF THE DRAWINGS]

[Fig. 1] A cross-sectional view schematically showing a configuration of a substrate cleaning apparatus according to a first embodiment of the present invention.

[Fig. 2] Depicting a preferred example of the ultrasonic oscillator, showing an example layout in which the radiators are arranged on the surface of the ultrasonic oscillator.

[Fig. 3] A cross-sectional view schematically showing a construction of a substrate

cleaning apparatus according to a second embodiment of the present invention.

[Fig. 4] A cross-sectional view schematically showing a construction of a substrate cleaning apparatus according to a third embodiment of the present invention.

[Fig. 5] A plan view showing a preferred example of the shield plate adapted in the third embodiment.

[Fig. 6] A cross-sectional view schematically showing a construction of a substrate cleaning apparatus according to a fourth embodiment of the present invention.

[Fig. 7] A conceptual drawing of a cross section of a pattern for describing an etching process.

[Fig. 8] A conceptual drawing of an example of a conventional processing cleaner.

[Explanation of the Numerals]

- 1 processing bath,
- 2 cleaning chemical,
- 3 ultrasonic oscillator,
- 31 radiator,
- 4 substrate,
- 5 retainer,
- 6 rotary mechanism,
- 7 shield plate,
- 71 plate member,
- 72 slit,
- 8 jet nozzles (propagation control means)

[DOCUMENT NAME] ABSTRACT

[ABSTRACT]

[PROBLEM] To obtain a cleaning apparatus and method for improving a cleaning effect and promoting removal of an altered resist layer adhering to the substrate.

[MEANS TO SOLVE THE PROBLEM] A cleaning apparatus is provided with a processing bath to be filled with a cleaning chemical, an ultrasonic oscillator, and a retainer for holding a substrate to be immersed into a cleaning chemical. The front surface of the substrate is cleaned while ultrasonic waves are radiated from the ultrasonic oscillator onto the back surface of the substrate.

[SELECTED DRAWING] Figure 1